

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	13077742
<b>Application Number:</b>	09465607
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	9080
<b>Title of Invention:</b>	COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM
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<b>Attorney Docket Number:</b>	98-HSX001-C1
<b>Receipt Date:</b>	21-JUN-2012
<b>Filing Date:</b>	17-DEC-1999
<b>Time Stamp:</b>	19:29:58
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment		no			
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	98-HSX001-C1_120621_IDS.pdf	42297	no	6
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2	Information Disclosure Statement (IDS) Form (SB08)	98-HSX001-C1_120621_1449.pdf	72251 5531da0974c86ec5dffa8576b6e4840006e24	no	7
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This is not an USPTO supplied IDS fillable form					
3	Non Patent Literature	Eaton_You_Too_Can_Be_Rate d_AAA.pdf	112296 a39c8a8e126aa3ed847b3bdc5727b14738220c2	no	2
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4	Non Patent Literature	Fairfax_When_You_Wish_Upon_A_Star.pdf	2010196 dca2acaf54638e90734633d6a403cfe00c1f8	no	32
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5	Non Patent Literature	Gruca_Effect_Of_Electronic_Markets.pdf	800728 47ed8cb392582c995c9146cca483fb796d70dbd	no	11
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6	Non Patent Literature	F1_Championship.pdf	101579 9811cabaac15d647676aa95638c0d833dc2951	no	5
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7	Non Patent Literature	Markowitz_NPL.pdf	90154 63ee586b847e514d398f791dd9c38da96d73277	no	3
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8	Foreign Reference	WO2004031899A2.pdf	1063410 a9327735278698befc25a8d1cb1277c7af23167	no	26
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9	Foreign Reference	WO2005001728A2.pdf	2442452 2b633ba6ce8f50170004c8d501005f66317476e0f	no	55
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10	Non Patent Literature	03-6157-C1_101006_Notice_of_Allowance_Fees_Due.pdf	2661932 2b6a4131c02b0777941a079d79f58f8dafa02a6502	no	62

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11	Non Patent Literature	05-HSX001-CIP_101123_Final_Office_Action.pdf	695503 a65101e75f09002c5c3bfe6426f098ade4e1bf0	no	20
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12	Non Patent Literature	05-HSX001-CIP_110606_Notice_Panel_Decision_PreAppeal_Review.pdf	80107 5d68b425c28a889178bce0b713a32f0917c40e	no	2
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13	Non Patent Literature	98-HSX001-C6_110621_Notice_of_Panel_Decision.pdf	79121 3274b4b187e09dc208f1d154b25f0a00b084d6c8	no	2
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16	Non Patent Literature	98-HSX001-C5_110901_Final_Rejection.pdf	759559 6215820f6d14aa2bc130052f608ac7dbf11d61	no	16
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17	Non Patent Literature	98-HSX001-C5_101213_3mo_Non-Final_Office_Action.pdf	613770 4c421ab491e06df02146e46d37840Ma7a6b382ab	no	13
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18	Non Patent Literature	05-HSX001-CIP-WOJP_110104_Office_Action_for_IP2008524053.pdf	224454 811d8ba41301d4bca818278f1ac7d2aeff6c31	no	9
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19	Non Patent Literature	04-6191-C2_110513_Notice_of_Allowance.pdf	502419 c705c008455232a818678c78f0a03ac44241e7ab	no	10

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21	Non Patent Literature	04-6191- C2_101920_Notice_of_Allowance_Fees_Due.pdf	397645 <small>96bdc1c82981c401ae09c8b157600a726e694</small>	no	9
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22	Non Patent Literature	04-6197- C1_101227_3mo_Non-Final_Office_Action.pdf	831687 <small>64c464802691da8b1c1954895423877c78bbd85</small>	no	23
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23	Non Patent Literature	04-6197- C1_110803_Final_Rejection.pdf	649139 <small>979c04c252563c0efccaf6d4ff71d3db3578d3</small>	no	18
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26	Non Patent Literature	04-6191WOAU-1_110428_Examiners_2nd_Report.pdf	128959 <small>7ccca660c726294e02908fa1da1a6e725ac3d3c</small>	no	2
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27	Non Patent Literature	04-6191WOAU-1_110328_Examination_first_Report.pdf	185802 <small>d4eaf45a74c038624107c39f0c258ba34a9d4d5e</small>	no	2
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31	Non Patent Literature	04-6197CIP-C1_120120_Non_Final_Rejection.pdf	528053 aaf0e36f9a36022320db51a88f8f63098c55b11	no	16
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33	Non Patent Literature	03-6164_120109_Non_Final_Rejection_without_1449s.pdf	3310050 bbe6dc03c2ac004baf82a3b01662cf1119	no	78
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34	Non Patent Literature	Wiki_Dividend_2010.pdf	76527 09adff929f6a02e545363a7b6c5bd14ac6d74dca	no	7
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

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